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January 6, 2006

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Art Unit 3623

Attn: Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Re:

U.S. Utility Patent Application

Application No. 09/887,528; Filed: June 25, 2001

For: Method and System for High Performance Model-Based Personalization

Inventors:

Ekhaus et al.

Our Ref:

2222.0730001

Sir:

Transmitted herewith for appropriate action are the following documents:

- 1. Supplemental Information Disclosure Statement;
- 2. A listing of the cited documents on Form PTO/SB/08A (5 pp.);
- 3. A listing of the cited documents on Form PTO/SB/08B (2 pp);
- 4. Copies of the cited documents (FP1 FP83 and NPL1 NPL18); and
- 5. One (1) Return Postcard.

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Sterne, Kessler, Goldstein & Fox PLLC. : 1100 New York Avenue, NW : Washington, DC 20005 : 202.371.2600 f 202.371.2540 : www.skqf.com

Commissioner for Patents January 6, 2006 Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Lori A. Gordon

Attorney for Applicants Registration No. 50,633

MVM/LAG/smn Enclosures



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ekhaus et al.

Appl. No.: 09/887,528

Filed: June 25, 2001

For: Method and System for High Performance Model-Based

Personalization

Confirmation No.: 5737

Art Unit: 3623

Examiner: Catherine M. Colon

Atty. Docket: 2222.0730001

## **Supplemental Information Disclosure Statement**

Mail Stop Amendment

Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

Sir:

Listed on accompanying IDS Forms are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98.

Applicants have listed publication dates on the attached IDS Forms based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. No statement or fee is required.

Copies of documents FP1-FP83 and NPL1-NPL18 are submitted. An English language abstract has been attached to the first page of the Japanese patent for each of the cited Japanese Patents FP2-FP79. In accordance with 37 C.F.R. § 1.98(a)(2), no copies of U.S. patents and patent application publications cited on the attached IDS Forms are submitted.

It is respectfully requested that the Examiner initial and return a copy of the enclosed IDS Forms, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Lori A. Gordon

Attorney for Applicants Registration No. 50,633

Date: January 6, 2006

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 5

Substitute for form 1449/PTO

Complete if Known					
Application Number	09/887,528				
Filing Date	June 25, 2001				
First Named Inventor	Michael Ekhaus				
Art Unit	3623				
Examiner Name	Catherine M. Colon				
Attorney Docket Number	2222 00730001				

			U.S. PATENT DO	CUMENTS	
Examiner Initials	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
iminais	NO.	Number-Kind Code <sup>2 (If Known)</sup>	WINI-DD-1111	Applicant of Cited Document	or Relevant Figures Appear
	US1	4,870,579	09-26-1989	Hey	
	US2	4,996,642	02-26-1991	Hey	
	US3	5,091,852	02-25-1992	Tsuchida et al.	
	US4	5,668,987	09-16-1997	Schneider	
	US5	5,749,081	05-05-1998	Whiteis	
	US6	5,790,426	08-04-1998	Robinson	
	US7	5,835,087	11-10-1998	Herz et al.	
	US8	5,872,850	02-16-1999	Klein et al.	
	US9	5,884,282	03-16-1999	Robinson	
	US10	5,918,014	06-29-1999	Robinson	

		Fo	REIGN PATENT DO	OCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FP1	CA 2,249,096 A1	03-30-2000	Valentin et al.		
	FP2	JP 1-169605	07-04-1989	Kamikubo et al.		
	FP3	JP 7-152771	06-16-1995	Shimogoori	J	
	FP4	JP 7-234881	09-05-1995	Jiyon <i>et al</i> .		
	FP5	JP 8-331077	12-13-1996	Kurihara		
	FP6	JP 9-153027	06-10-1997	Yoneda et al.		
	FP7	JP 9-265478	10-07-1997	Ariyoshi		
	FP8	JP 10-32797	02-03-1998	Tsuji et al.		
	FP9	JP 10-63680	03-06-1998	Kin		
	FP10	JP 10-63681	03-06-1998	Kin		
	FP11	JP 10-63749	03-06-1998	Kubota et al.		
	FP12	JP 10-74206	03-17-1998	Nakamura		
	FP13	JP 10-91642	04-10-1998	Kinohara		
	FP14	JP 10-91647	04-10-1998	Kubota et al.		
	FP15	JP 10-91686	04-10-1998	Yamashita et al.		
	FP16	JP 10-143540	05-29-1998	Sumita et al.		
	FP17	JP 10-143541	05-29-1998	Sumita		
	FP18	JP 10-162025	06-19-1998	Yoshida et al.		
	FP19	JP 10-162027	06-19-1998	Takahashi et al.		

Examiner	Date	
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Substitute for form 1449/PTO			)	Complete if Known		
			•	Application Number	09/887,528	
SUPPLEMENTAL		Filing Date	June 25, 2001			
	INFORMATION DISCLOSURE		First Named Inventor	Michael Ekhaus		
STAT	EME!	NT BY	APPLICANT	Art Unit	3623	
(Use as many sheets as necessary)		Examiner Name	Catherine M. Colon			
Sheet	2	of	5	Attorney Docket Number	2222.00730001	

			U.S. PATENT DO	CUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
minais	No.	Number-Kind Code <sup>2 (If Known)</sup>	WINI-DD-1111	Applicant of Cited Bootiness	or Relevant Figures Appear
	US11	5,983,214	11-09-1999	Lang et al.	
-	US12	6,006,218	12-21-1999	Breese et al.	
	US13	6,041,311	03-21-2000	Chislenke et al.	
	US14	6,049,777	04-11-2000	Sheen aet al.	
	US15	6,064,980	05-16-2000	Jacobi <i>et al</i> .	
	US16	6,092,049	07-18-2000	Chislenko et al.	
	US17	6,108,493	08-22-2000	Miller et al.	
	US18	6,112,186	08-29-2000	Bergh et al.	
	US19	6,266,649 B1	07-24-2001	Linden et al.	
	US20	6,313,745 B1	11-6-2001	Suzuki	

		Fo	REIGN PATENT DO	OCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	FP20	JP 10-162028	06-19-1998	Takahashi et al.		
	FP21	JP 10-198706	07-31-1998	Sakata		
	FP22	JP 10-228482	08-25-1998	Ihara et al.		
	FP23	JP 10-228487	08-25-1998	Abe		
	FP24	JP 10-240749	09-11-1998	Ariyoshi		
	FP25	JP 10-247198	09-14-1998	Ihara et al.		
	FP26	JP 10-257405	09-25-1998	Miyahara		
	FP27	JP 10-260955	09-29-1998	Shimogoori		
	FP28	JP 10-283403	10-23-1998	Suzuki		
	FP29	JP 10-289245	10-27-1998	Shiiyama		
	FP30	JP 10-301950	11-13-1998	Washisaki		
	FP31	JP 10-307845	11-17-1998	Muramoto		
	FP32	JP 10-320415	12-04-1998	Enomoto et al.		
	FP33	JP 10-340270	12-22-1998	Hara et al.		
	FP34	JP 11-7453	01-12-1999	Kotani		
	FP35	JP 11-7472	01-12-1999	Hirose et al.		
	FP36	JP 11-15840	01-22-1999	Matsunaga et al.		
-	FP37	JP 11-45265	02-16-1999	Ukikawa et al.		
	FP38	JP 11-45284	02-16-1999	Fujita		

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Substitute for form 1449/PTO			Complete	Complete if Known		
SUPPLEMENTAL		Application Number	09/887,528			
		Filing Date	June 25, 2001			
	INFORMATION DISCLOSURE		First Named Inventor	Michael Ekhaus		
STATEMENT BY APPLICANT (Use as many sheets as necessary)		Art Unit	3623			
		Examiner Name	Catherine M. Colon			
Sheet 3	of	5	Attorney Docket Number	2222 00730001		

			U.S. PATENT DO	OCUMENTS	
	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
Initials	INO.	Number-Kind Code <sup>2 (If Known)</sup>	MIM-DD-1111	Applicant of Cited Document	or Relevant Figures Appear
	US21	6,314,420 B1	11-06-2001	Lang et al.	
	US22	6,317,722 B1	11-13-2001	Jacobi et al.	
	US23	6,321,179 B1	11-20-2001	Glance et al.	
	US24	6,321,221 B1	11-20-2001	Bieganski	•
	US25	6,334,127 B1	12-25-2001	Bieganski et al.	
	US26	6,356,889 B1	03-12-2002	Lohman et al.	
	US27	6,412,012 B1	06-25-2002	Bieganski et al.	
	US28	6,415,368 B1	07-02-2002	Glance et al.	
	US29	6,434,550 B1	08-13-2002	Warner et al.	
	US30	6,438,579 B1	08-20-2002	Hosken	

		Fo	REIGN PATENT DO	CUMENTS		
Examiner Initials*	Cite No.	Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>3</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	FP39	JP 11-45286	02-16-1999	Nomura et al.		
	FP40	JP 11-45289	02-16-1999	Nomura		
	FP41	JP 11-45290	02-16-1999	Nomura		
	FP42	JP 11-53394	02-26-1999	Nomura		
	FP43	JP 11-66081	03-09-1999	Dousaka et al.		
	FP44	JP 11-66097	03-09-1999	Iwazawa		
	FP45	JP 11-66098	03-09-1999	Terauchi et al.		
	FP46	JP 11-96164	04-09-1999	Takeuchi et al.		
	FP47	JP 11-110410	04-23-1999	Imamura		
	FP48	JP 11-120189	04-30-1999	Morimoto et al.		
	FP49	JP 11-134345	05-21-1999	Ichimura		
	FP50	JP 11-134361	05-21-1999	Yuasa et al.		
	FP51	JP 11-143900	05-28-1999	Kanemichi et al.		
	FP52	JP 11-161670	06-18-1999	Matsunaga et al.		
	FP53	JP 11-164217	06-18-1999	Takashima		
	FP54	JP 11-175546	07-02-1999	Takagi et al.		
	FP55	JP 11-184890	07-09-1999	Oka		
	FP56	JP 11-184891	07-09-1999	Hirose		
	FP57	JP 11-195035	07-21-1999	Ishikawa et al.		

Examiner	-	Date	
Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

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Substitute	for form	1449/PT0	)	Complet	Complete if Known	
SUPPLEMENTAL				Application Number	09/887,528	
			·· <del>-</del>	Filing Date	June 25, 2001	
	INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)			I hat ranica inventor	Michael Ekhaus	
STAT				Art Unit	3623	
				Examiner Name	Catherine M. Colon	
Sheet	4	of	5	Attorney Docket Number	2222.00730001	

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Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
Illitials	NO.	Number-Kind Code <sup>2 (If Known)</sup>	WIWI-DD-1111	Applicant of Cited Document	or Relevant Figures Appear
	US31	6,460,036 B1	10-01-2002	Herz	
	US32	6,636,836 B1	10-21-2003	Pyo	
	US33	2002/0065721 A1	05-30-2002	Lema et al.	
	US34	2002/0120609 A1	08-29-2002	Lang et al.	
	US35	2002/0199194 A1	12-26-2002	Ali	
	US36	2003/0191737 A1	10-09-2003	Steele et al.	
	US37	2004/0034652 A1	02-19-2004	Hofmann et al.	
	US38	2005/0091245 A1	04-28-2005	Chickering et al.	
	US39	2005/0125307 A1	06-09-2005	Hunt et al.	
	US40				

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	FP58	JP 11-205706	07-30-1999	Sumiya et al.		
	FP59	JP 11-212996	08-06-1999	Tanitsu		
	FP60	JP 11-232278	08-27-1999	Oda et al.		
	FP61	JP 11-232287	08-27-1999	Hoshiai		
	FP62	JP 11-250091	09-17-1999	Okayama		
	FP63	JP 11-259497	09-24-1999	Ando et al.		
	FP64	JP 11-272574	10-08-1999	Nagaishi		
	FP65	JP 11-282874	10-15-1999	Sugai et al.		
	FP66	JP 11-282875	10-15-1999	Sugai		
	FP67	JP 11-308547	11-05-1999	Obara et al.		
	FP68	JP 11-312177	11-09-1999	Nemoto		
	FP69	JP 11-316759	11-16-1999	Oda et al.		
	FP70	JP 11-328266	11-30-1999	Haruna et al.		
	FP71	JP 11-338869	12-10-1999	Washisaki		
	FP72	JP 11-338872	12-10-1999	Narisawa et al.		
	FP73	JP 11-338879	12-10-1999	Miyamoto et al.		
	FP74	JP 11-345446	12-14-1999	Okita et al.		
	FP75	JP 11-509019	08-03-1999			
	FP76	JP 2000-13708	01-14-2000	Ukai et al.		

Examiner	Date	
Signature	Considered	

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STAT			APPLICANT	Art Unit	3623
	(Use as	many sh	eets as necessary)	Examiner Name	Catherine M. Colon
Sheet	5	of	5	Attorney Docket Number	2222.00730001

			U.S. PATENT DOC	CUMENTS	
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages
initials	No.	Number-Kind Code <sup>2 (If Known)</sup>	MIM-DD-1111	Applicant of Cited Document	or Relevant Figures Appear
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,		NON PATENT LITERATURE DOCUMENTS	
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SUPPLEMENTAL INFORMATION DISCLOSURE			~~ ~~~~	Filing Date	June 25, 2001		
				First Named Inventor	Michael A. Ekhaus		
STATE			PLICANT	Art Unit	3623		
	(Use as many sheets as necessary)			Examiner Name	Catherine M. Colon		
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